

# Large-Field inflation in String Theory and CMB Phenomenology

Many contributors; collaborators include

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Related works by Kaloper, Sorbo, Lawrence,  
Pajer, Easter, Peiris, Xu, Meerburg,  
Spergel, Wandelt, Roberts, Dubovsky,  
D'Amico, Gobbetti, Kleban, Schillo, Gur-Ari;  
Marchesano, Shiu, Uranga, Palti, Weigand,  
Wenren, Schlaer, Lust, Hebecker, Kraus,  
Witowski, Ibanez, Valenzuela, Dine, Draper,  
Monteaux, Arends, Heimpel, Mayrhofer,  
Schick, Yonekura, Higaki, Kobayashi, Seto,  
Yamaguchi, Hassler, Massai, Grimm, Ibe,  
Harigaya, Amin, Hertzburg et al, Schmitz,  
Harigaya, Ibe, Yanagida...Kallos-Linde  
(sugra)

cf the earlier N-flation scenario by  
Dimopoulos, Kachru, McGreevy, Wacker;...  
and 2-axion alignment Kim/Nilles/Peloso...  
+chromo-natural, (gauge) inflation  
Adshead/Martinec/Wyman,  
Ashoorioon, Sheikh-Jabbari et al

■ ■ ■

As they map the universe with extraordinary coverage and precision, CMB/LSS experiments are reaching unprecedented observational sensitivity to 'fundamental' physics including quantum gravity.

--BICEP2(+ input from BICEP1, Keck Array, SPT/ACT, Planck, WMAP,...): Tour de Force\_B-mode detection, at a level consistent with inflationary quantum gravitational waves (uncertain model-dependent amplitude), but could be consistent with foregrounds (uncertain, complicated) [Flauger Hill Spergel '14]  
--Planck +Joint analysis (+ B3, Spider, CLASS,...)

--Chance to develop systematic understanding in the next months, years as r/dust, NG etc play out.

Plan (Organizers: aim at grad students in any subfield of cosmology)

- Inflation and quantum gravity  $r > .01 \Leftrightarrow \Delta\Phi > M_p$
- Axion Monodromy
  - structure & recent examples
  - phenomenology ( $r$ , oscillations)

→ new/ongoing work on theoretical parameter ranges

\*Inflation is (as of now) our only paradigm with theoretically controlled calculations of density perturbations, with the simplest scenarios fitting the data well.  
(independently of tensor modes)

\*What is at stake is the observational lever to Quantum Gravity

\*The model  $V(\Phi)$  etc. is of interest insofar as it is connected to other physics.

\*\*  $r > .01$  strongly "UV" sensitive

Inflation + perturbations can be modeled in low energy quantum field theory and GR.

$$\dot{\phi}^2 \ll V = H^2 M_p^2 \ll M_p^4$$

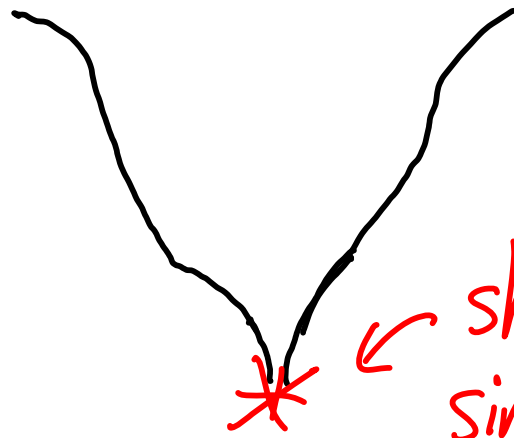
However, these do not provide a complete description. To see this, first note that the effective interaction strength of gravity increases with energy:

$$\lambda_G \sim G_N E^2 \sim \left( \frac{E}{M_p} \right)^2 \leftarrow 10^{19} \text{ GeV}$$

At short distances, quantum effects become important, along with any new degrees of freedom involved in a "UV completion" of the theory. This affects cosmology in several ways.

1)

Far past:

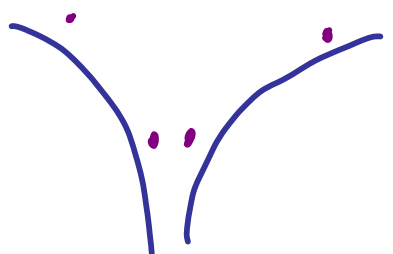


← short-distance singularity

and/or "eternal inflation"

- No known controlled example of a singularity (bounce or otherwise) that gives viable phenomenology.

There is much more to do to understand initial conditions for cosmology. This is conceptually interesting, and may ultimately provide some sort of probability distribution for late time physics.

However, if it occurred, inflation diluted most relics of this early time , so let us move

on to inflation in string theory + data



General Relativity describes gravity accurately  
at long distances

$$S = \int d^4x \sqrt{g} \frac{R}{16\pi G_N} + S_{\text{matter}} \rightarrow R_{\mu\nu} - \frac{1}{2} g_{\mu\nu} R = 8\pi G_N T_{\mu\nu}$$

GR breaks down for  $\lambda_G \rightarrow 1$  (or before)

Quantum Fluctuations  $\rightarrow$

$$S = \int \left( \frac{R}{16\pi G_N} - V(\phi) \right) \left( 1 + R \left( \frac{c_1}{M_*^2} + \tilde{c}_1 G_N \right) + \dots \right) \\ + \int (\partial\phi)^2 + k_1 \frac{(\partial\phi)^4}{M_*^2} + \dots$$

$\leftarrow$  scale of "new physics"

with corrections sensitive to  
short-distance physics

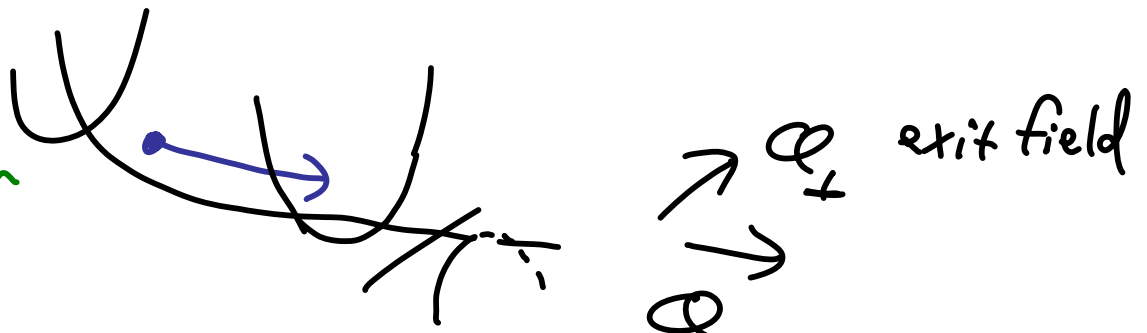


2) These corrections matter for inflation

e.g. A seemingly simple way to obtain inflation is to postulate a very flat potential for the inflaton  $\phi(x)$ .

e.g.  
Linde '93

hybrid inflation

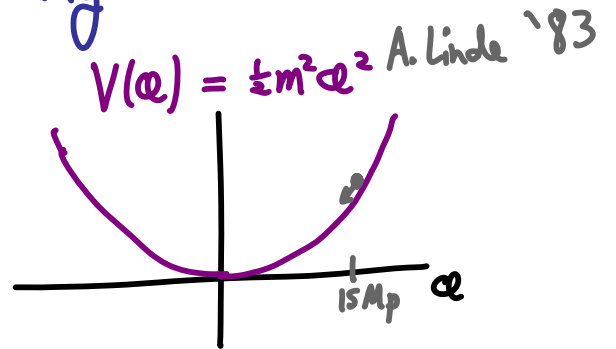


$$\epsilon \equiv \frac{M_p^2}{2} \left( \frac{V'}{V} \right)^2 \ll 1 \quad \eta \equiv M_p^2 \left| \frac{V''}{V} \right| \ll 1$$

However, corrections from the UV physics can generate substructure in  $\mathcal{L}(\phi, \partial\phi)$ :

$$\frac{V(\phi - \phi_0)^2}{M_p^2} \rightarrow \Delta\eta \sim 1$$

This UV sensitivity is greatest in the case of "Large-field Inflation" where the inflaton  $\phi$  ranges over more than a distance  $M_p$  e.g.



$$\left\{ \begin{aligned} \epsilon &= \frac{1}{2} \left( \frac{V'}{V} M_p \right)^2 \\ \eta &= M_p^2 \left| \frac{V''}{V} \right| \end{aligned} \right\} \sim \left( \frac{M_p}{\phi} \right)^2 \Rightarrow \phi \sim 15 M_p$$

In General:

★ "Lyth Bound" :  $\frac{\Delta \phi}{M_p} \sim \left( \frac{r}{0.01} \right)^{\frac{1}{2}}$

cf Turner

UV sensitive if  $\geq 1$

observable

→ Control with approximate shift symmetry (Wilsonian 'natural')

Lyth ~~"Bound"~~

$$N_e = \int \frac{da}{a} = \int \frac{da}{dt} \frac{dt}{a} = \int H dt$$
$$= \int \frac{H M_p}{\dot{\phi}} \frac{d\phi}{M_p} = \sqrt{8} r^{-\frac{1}{2}} \frac{\Delta\phi}{M_p}$$

using

$$r = \frac{\gamma\gamma}{\beta\beta} = \frac{\text{tensor}}{\text{Scalar}} \sim \frac{\frac{H^2}{M_p^2}}{\frac{H^4}{\dot{\phi}^2}}$$

and assuming no strong variation of  $\frac{H M_p}{\dot{\phi}}$ , and no exotic sources

$r = \frac{\text{Tensor}}{\text{Scalar}}$  is related to field range in simple inflation

$$\frac{\Delta Q}{M_p} \sim \frac{r^{\frac{1}{2}}}{\sqrt{8}} N_e \gg 1$$

highly UV sensitive if  $\geq 1$

BICEP2 if contains primordial component

• An  $\infty$  sequence of possible terms

$$V \rightarrow V \left( 1 + \sum_n c_n \frac{(\phi - \phi_0)^n}{M_p^n} \right)$$

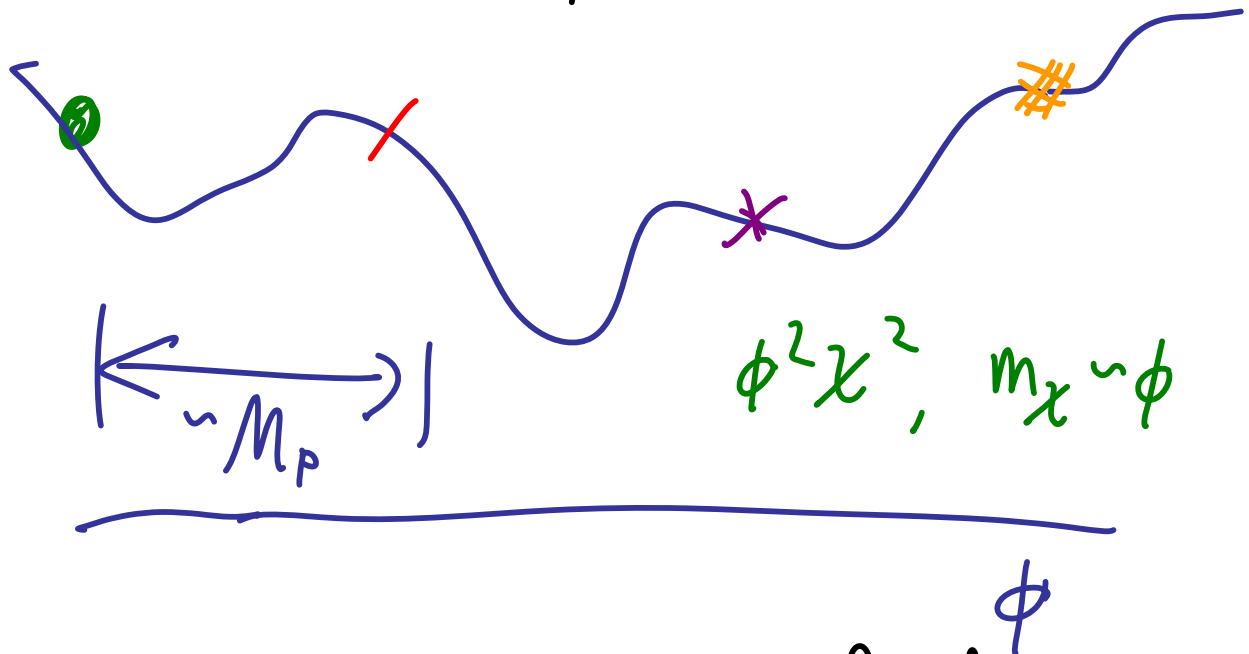
infinitely "UV-sensitive"

must be suppressed (e.g. symmetry)

→ Determined by Quantum Gravity theory

→ B-modes test string-theoretic large-field inflation in particular.

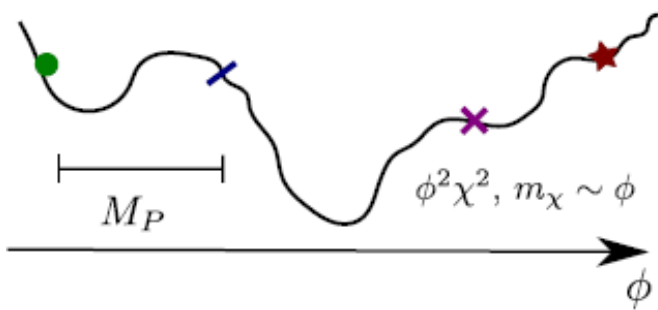
A common prejudice was :



Over a large distance in field space,  $V(\phi)$  could be strongly corrected by  $\phi$ 's couplings to whatever degrees of freedom UV-complete gravity.

- Can postulate a symmetry, but one wonders if QG has it – this is a strong statement about the classical theory

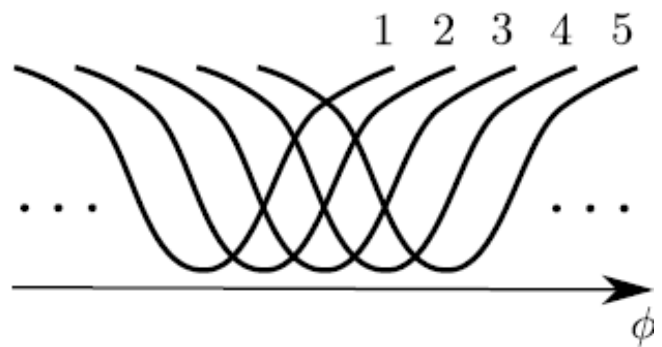
Parameterized  
ignorance of  
quantum grav.



New degrees  
of freedom  
each  $\Delta\Phi \sim M_P$

No  
continuous  
global symm.  
in QG

String Theory  
axions (and  
duals)



From ubiquitous  
Axion-Flux  
couplings

Discrete shift  
symm.,  $f \ll M_P$

[cf Chaotic Infl.(Linde),  
Natural Infl. (Freese et  
al)]

Experimental probes of QG are rare, so we do not know much about it empirically.

Thought - experimental and mathematical probes are quite powerful, e.g.

- Black hole thermodynamics

$$S = \frac{A}{4G_N}, \quad T_{\text{Hawking}} \propto \frac{1}{R_{\text{Schwarzschild}}}$$

- UV finiteness, spacetime singularities



String theory is a strong candidate for QG.

- Recover  $S = \frac{A}{4G_N}$  (in special calculable examples)

↳ AdS/CFT duality, holography

- UV-finite amplitudes and spacetime singularity resolutions

- Intricate connections among different limits

↳ including landscape of vacua, fitting with Weinberg's picture of the small but nonzero late-time acceleration

Note: The landscape does  
not mean "anything goes".

Lots of structure.

...

- $f_{\text{axion}} \ll M_p$  Banks Dine  
Gorbatur
- no hard cosmological constant (metastable)
- Extreme limits of moduli space  
→ light degrees of freedom "Ooguri  
Vafa"
- We might expect a "discretuum"  
of UV complete  $r, n_s$

Monodromy generates  
 symmetry-controlled  
 large field range and  
 observable B mode  
 signal. (Other inflation  
 mechanisms can yield  
 low  $r$ .)

$$\int d^D x \sqrt{G} \sum_{\mathbf{f}} \left| \underbrace{F_{\mathbf{f}} - \frac{C \Lambda}{f^3} H + \tilde{F}_{\mathbf{f}} B \wedge^{-1} B}_{\tilde{F}_{\mathbf{f}} \text{ Gauge-invar.}} \right|^2$$

$\int_{\Sigma_{\mathbf{f}}} F_{\mathbf{f}} = Q_{\mathbf{f}}$  (fluxes)  
 axions  $b = \int_{\Sigma_2} B$   
 (Direct Dependence)

This generalizes Stueckelberg couplings  
in electromagnetism (spontaneously  
broken in a superconductor)

$$S = \int d^4x \left\{ F^2 - \rho^2 (\partial\theta - A)^2 \right\}$$

Gauge symmetry  $A_\mu \rightarrow A_\mu + \partial_\mu \Lambda$   
 $\theta \rightarrow \theta - \Lambda$

In string theory, the string  
sources a 2-index gauge potential  $B_{MN}$   
analogously to how a charged particle  
sources  $A_\mu$  in Electromagnetism

$$\text{axions} = B_{MN} - \text{modes} \\ (\text{and duals})$$

$$\int d^D x \sqrt{G} \sum_{\vec{r}} \left| F_{\vec{r}} - \frac{C \Lambda}{r^3} H + F_{\vec{r}} B \Lambda^{-1} B \right|^2$$

In a nutshell, we reduce this to 4 dimensions and compute the inflaton potential  $V(\phi)$ , taking into account the back reaction on other degrees of freedom while (meta-)stabilizing the extra dimensions.

e.g.  $D=10$  IIA

---

$$H = dB, \quad \tilde{F}_2 = dC_1 + F_0 B$$

$$\tilde{F}_4 = dC_3 + C_1 \wedge H_3 + \frac{1}{2} F_0 B \wedge B$$

This, its reductions &  
T-duals lead to

$$|\tilde{F}_2|^2 \sim |QB^n|^2$$

for various  $n \equiv \frac{p_0}{2}$   $\leftarrow$  fiducial power of  $b$

# Corrections

$$\sum_{k \geq 1} g_s^{2k} |F_q|^{\sim 2k} \sim \sum_k g_s^{2k} \frac{Q_m^2}{L^{2m}} b^{2nk}$$

↑    ↗  
Suppression

In general,  
Work at large(-ish)  
radii & weak  $g_s$  for  
control, with or without  
low-energy SUSY.

$$\dots \left| \tilde{F}_2 \right|^2 \sim \left| Q B^n \right|^2$$

for various  $n \equiv \frac{p_0}{2}$  ← fiducial power of  $b$

Back reaction on "moduli"  
 (shape & size of extra dimensions)  
 reduce the power to  $V \propto \phi^{p < p_0}$

To be systematic, we  
 will later try to push  
 $p_0$  (&  $p$ ) high, to see if  
 the theory allows that



$$V = V_0(\chi) + V_1(\chi) \left( \sum_n Q^{(2n)} b^n \right)^2 + \dots$$

axion  $\rightarrow$ 
Moduli  $\nearrow$

- Whole structure periodic

$$b \rightarrow b+1 \Leftrightarrow Q \rightarrow Q + \Delta Q$$

e.g. brane spectrum on  $\Sigma_2$

- Each branch (fixed  $Q$ ) has large range  $b$

$$-b_{uv} < b < b_{uv}$$

$$V(b_{uv} \gg 1) = V_{uv} \quad \text{(density at which lose control)}$$

$$V = V_0(\chi) + V_1(\chi) \left( \sum_n Q^{(2n)} b^n \right)^2 + \dots$$

Moduli

$$\mathcal{L}_{\text{kin}} = \int d^4x \sqrt{-g} M_p^2 f(\chi)^2 \dot{b}^2$$

• Moduli adjust, flatten  $V$   
[Dong et al '10]

•  $\int db M_p f[\chi(b)] = \phi_b$  Canonically Normalized

e.g.  $\frac{b}{L^2} \sim \frac{\phi_b}{M_p}$  (one Scale)

$D=10$  Type II at  $\phi_b \gg M_p$

$$V \sim M_p^4 \frac{g_s^4}{L^6} \frac{Q_n^2}{L^{2n}} \left( \frac{\phi^2}{M_p^2} + \frac{\phi^4}{M_p^4} + \mathcal{O}\left(\frac{g_s^2 Q_n^2 \phi^8}{L^{2n} M_p^8}\right) \right)$$

$$+ V_0(\chi = g_s, L, \dots)$$

In specific models, find

$$V \sim \hat{V}_1(\chi) \phi^{p_0} + V_0(\chi) \Big|_{\chi_{\min}}$$

$$\approx \mu^{4-p} \phi^p + \Lambda^4(\phi) \cos\left(\frac{\phi}{f(\phi)} + \gamma\right)$$

With  $p < p_0$ ;  $p = 3, 2, \frac{4}{3}, 1, \frac{2}{3}$

- $V_{\text{inflation}}$  helps stabilize

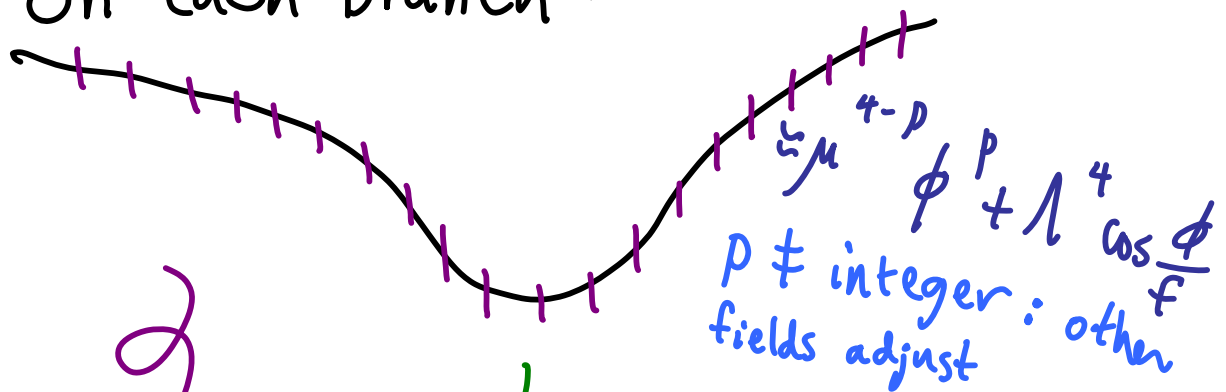
Moduli  $\chi$  in 2-term  
structure: Flux quanta  $\rightarrow Qb$

$$\left(\frac{L_2}{L_1}\right)^n Q_1^2 + \left(\frac{L_1}{L_2}\right)^{\tilde{n}} (bQ_2)^2 \hat{v}$$

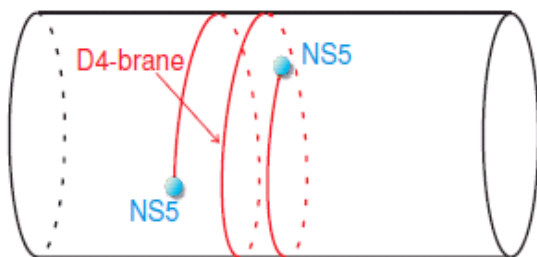
(Backreaction flattens  $V$

in such cases: 

On each branch :



Large  $\Delta\phi \gg M_p$ ,  
 but conditions similar  
 each time around



(T-dual of) specific  
 example '08  
 $p_0 = 2 \rightarrow p = 1$

Large field,  $\Delta\Phi_{\text{infl}} \gg M_p$

$\Rightarrow r \gg .01$

cf chaotic inflation  
 A. Linde '83

+ residual, model-dependent  
 oscillations

cf Natural Inflation  
 Adams Bond Freese Frieman Olinto

# Phenomenology

1.  $r$

2. Oscillations

- detectability highly model-dependent
- more like a "smoking gun"
- period drift (New templates)

3. Was it a coincidence  
that  $\{r, n_s\}$  landed in the  
right ballpark?

- Try to push theory to extremes  
to see if  $\{r, n_s\}$  robust

# Oscillation Templates <sup>for</sup> Planck 2014

[Flauger McAllister ES Westphal + Peiris]

$$V = V_0(\phi) + \Lambda^4(\phi) \cos\left(\frac{\phi}{f(\phi)} + \gamma\right)$$

[previous: Easther Flauger Peiris, Pajer  
Planck 2013, Meerburg Spergel Wandelt  
Aich et al.] ↑ low- $l$  anomalies &  
slow oscillation '14

$\Lambda^4 \cos(\dots)$  generated by  
periodic effects such as  
worldsheet instantons (large  $L_{\Sigma_2}$ )  
or particle/string production

Highly model-dependent, but  
interesting to search for

↳ Challenge: getting  $f(\phi)$   
wrong can wash out signal  
over  $l_{\min} \leq l \leq 2500$

$$\cos \left[ \frac{\phi_k}{f(\phi_k)} \right]$$

$$\phi_k \approx \sqrt{2p(N_* - \log(\frac{k}{k_*}))} M_p$$



Template including effects  
described above (moduli drift)

$$V = V_0 + \mu^{4-p} \phi^p + \Lambda_0^4 e^{-C_0 \left( \frac{\phi}{\phi_0} \right)^{p_\Lambda}} \cos \left[ \gamma_0 + \frac{\phi_0}{f_0} \left( \frac{\phi}{\phi_0} \right)^{p_f+1} \right] \quad (1)$$

e.g.  $p = \frac{4}{3}$ ,  $p_\Lambda = -\frac{1}{3}$ ,  $p_f = -\frac{1}{3}$

•  $p_f$  &  $p_\Lambda$  similar to  $p$ :  
come from  $\phi \Leftrightarrow$  "moduli"  
interaction.

$r, n_s$

Result for '08 example

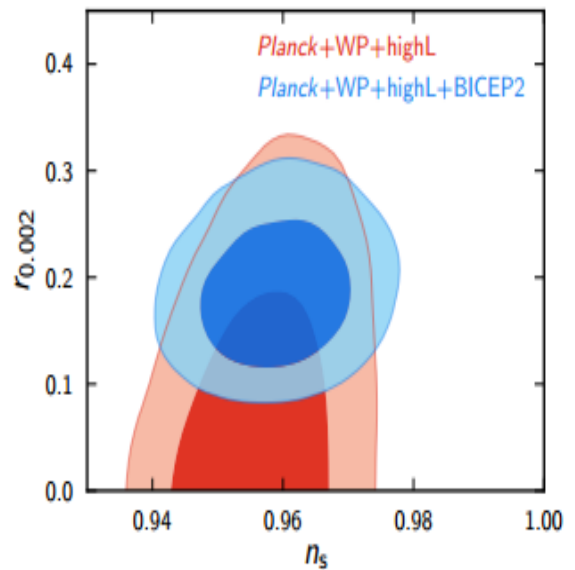
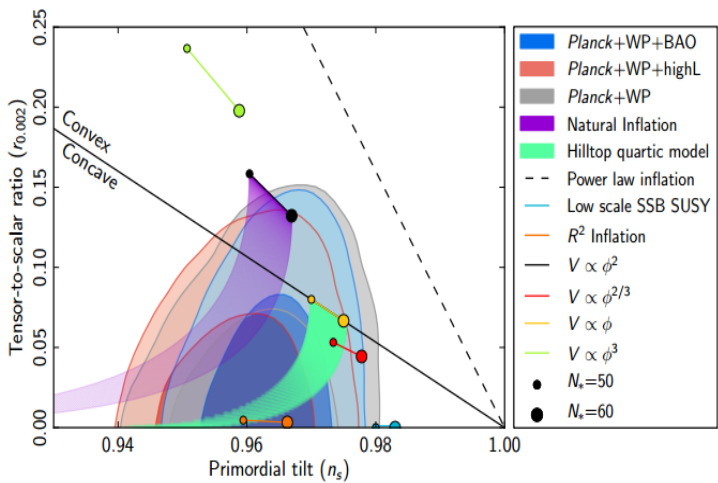
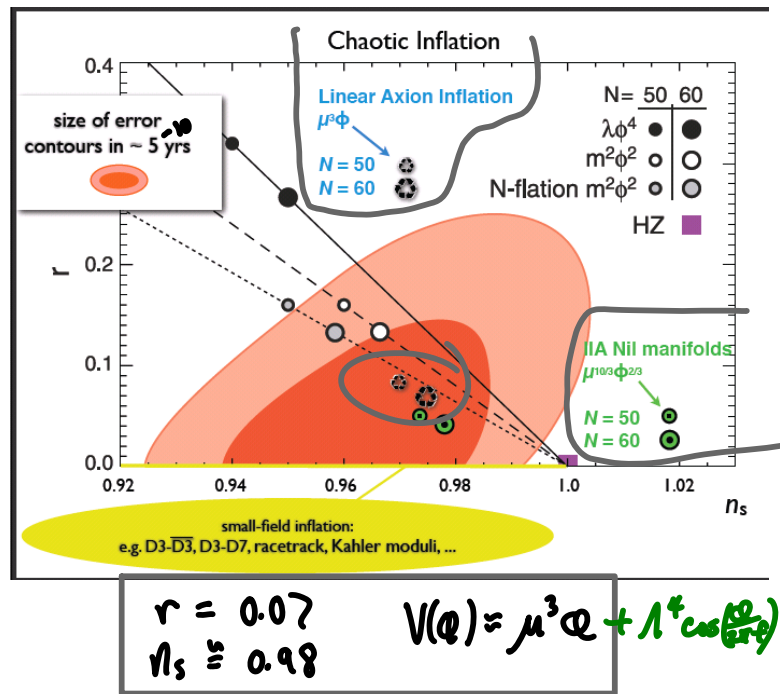


Fig. 1. Marginalized joint 68% and 95% CL regions for  $n_s$  and  $r_{0.002}$  from Planck in combination with other data sets compared the theoretical predictions of selected inflationary models.

power law potentials with  $p=3, 2, 4/3, 1, 2/3, \dots$

$r=.2, .13, .09, .07, .04, \dots$

so far. We hope to get this understood more systematically in the B-mode era.

What is the UV-complete theory blob in  $r, n_s, \dots$ ?

[cf Dodelson, Creminelli et al '14...]

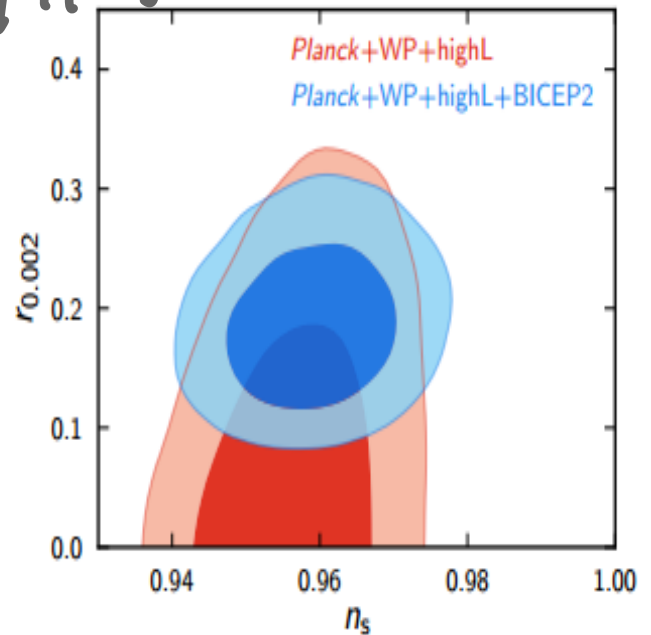
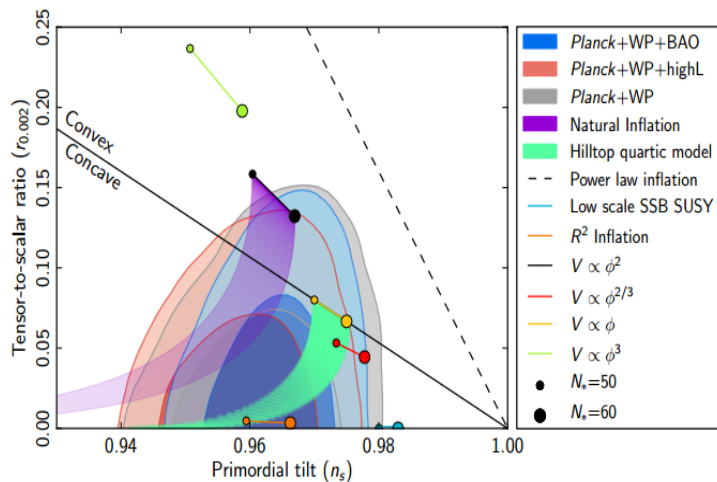


Fig. 1. Marginalized joint 68% and 95% CL regions for  $n_s$  and  $r_{0.002}$  from *Planck* in combination with other data sets compared to the theoretical predictions of selected inflationary models.

Are there theorems about range, rational values of  $p \leftrightarrow n_s, r$ , etc.? cf EFT of perturbations but at  $\Delta\Phi > M_p$

[Senatore et al.]

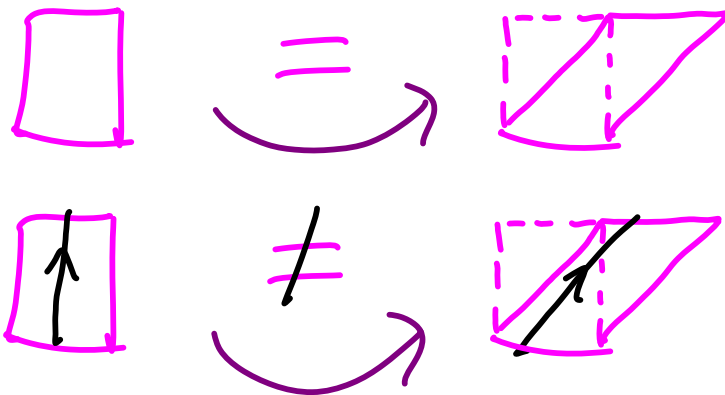
Dual Axions: This structure is ubiquitous

$B_{mn}$   
(sourced  
by fundamental  
string)

$\longleftrightarrow$

$C_g$   
(sourced by branes)

$\longleftrightarrow$  complex structure  
moduli



$\longleftrightarrow$  brane positions

...

Axions are  $> \frac{1}{2}$  the  
scalar fields in string theory :

- SUSY case  $\Phi = r + i\theta$

(and duals)

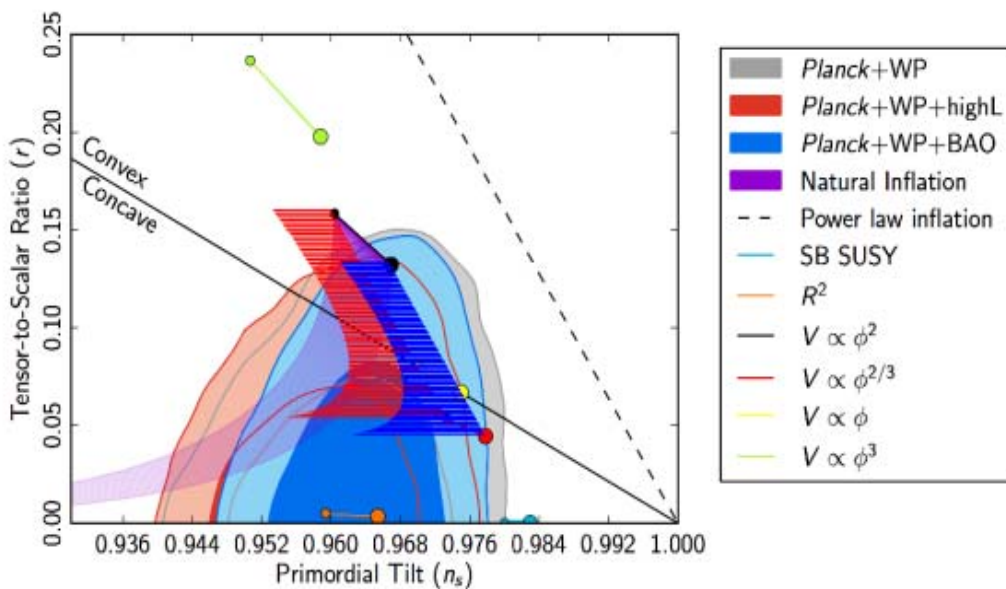
- ~~SUSY~~ limits  $N_{\text{axion}} \sim 2^D$

$$N_{\text{other moduli}} \sim D^2$$

It was a myth that string theory  
prefers small  $r$ , or that "most  
models" have that property — at  
least no credible argument for that.

Multiple Axions (N-flation),  
each with monodromy, may  
be the generic case.

This centralizes  $n_s$  D. Wenren  
1/4



# Axion monodromy systematics

[Dodelson Dong ES Tomaba 13 & in progress  
w/ McCandlish, Wenren]

$$V \sim \hat{V}(\chi) \phi^{p_0} + \dots$$



$$V \sim \mu^{4-p} \phi^p$$

Strategy: look in theory  
space for extreme values  
of  $p_0 \rightarrow p$  to see if  
phenomenological viability  
is robust.

In  $D > 10$ ,  $P_0$  can mainly be huge

$$|\tilde{F}_q|^2 = |F_q^2 + B \wedge F_{q-2} + \dots + F_0 B^{\frac{q}{2}}|^2$$

- But e.g. in product space we find that in appropriate field range, the  $B^{\frac{q}{2}}$  is subdominant (cf N-flation).
- More generally, even for large  $P_0$  there is room for strong 'flattening' by many adjusting fields.



Similarly, we can go back to twisted  
tori



ES Westphal  
'08

Gur-Ari '13

$$(z, \{^a\}) \cong (z-1, \underbrace{M^a_b}_{SL(n, \mathbb{Z})} \{^b\})$$

but in  $D > 10$  again to see if  
the theory will generate extreme  
values of  $p_0 \rightarrow p$ , or not.

So far, even for extreme topology,  $D$ , etc. we don't (yet) find parametrically large  $p$  (or even  $p_0$ ).

Goal (in progress): find exceptions or prove theorem

low  $l$ ?

There is a theoretical tension between the Wilsonian-natural shift-symmetry control of large-field inflation, and the low- $l$  anomalies if they become significant.

→ worth revisiting examples with flux hierarchies determining field range.

Broad Goal : more  
systematic understanding  
of large-field inflation,  
based on ubiquitous  
couplings between fluxes  
and axions ( $\alpha$  their duals)  
cf bottom up EFT (Senatore et al)

Maybe a good job for the  
Post-BICEP, pre-Planck/Keck<sup>100</sup>  
era. Exciting (if uncertain) times...